

## Article

# Systematically Improving Espresso: Insights from Mathematical Modeling and Experiment



Coffee extraction performed in an espresso machine is a process that depends on a delicate interplay between grind setting, coffee mass, water pressure and temperature, and beverage volume. Using a mathematical model based on the transport of solubles through a granular bed, paired with cafe-setting experiments, we elucidate the origin of inconsistencies in espresso production. Informed by the model, a protocol is proposed to systematically reduce coffee waste and beverage variation, resulting in highly reproducible shots.

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## HIGHLIGHTS

Development of a model for extraction of espresso

Experimental observation of inhomogeneous extraction

Optimization of espresso parameters to minimize coffee waste

Implementation to yield monetary savings in a cafe setting



## Improvement

Enhanced performance with innovative design or material control

Article

# Systematically Improving Espresso: Insights from Mathematical Modeling and Experiment

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## SUMMARY

Espresso is a beverage brewed using hot, high-pressure water forced through a bed of roasted coffee. Despite being one of the most widely consumed coffee formats, it is also the most susceptible to variation. We report a novel model, complimented by experiment, that is able to isolate the contributions of several brewing variables, thereby disentangling some of the sources of variation in espresso extraction. Under the key assumption of homogeneous flow through the coffee bed, a monotonic decrease in extraction yield with increasingly coarse grind settings is predicted. However, experimental measurements show a peak in the extraction yield versus grind setting relationship, with lower extraction yields at both very coarse and fine settings. This result strongly suggests that inhomogeneous flow is operative at fine grind settings, resulting in poor reproducibility and wasted raw material. With instruction from our model, we outline a procedure to eliminate these shortcomings.

## INTRODUCTION

The past century has seen an increase in the prevalence of coffee consumption, as consumers have gained an appreciation for its complex and exciting flavors, and obvious psychological effects.<sup>1–7</sup> As a result, the coffee industry contributes significantly to the economic stability of numerous producing and consuming countries. For example, in 2015, the American coffee industry provided over 1.5 million jobs, accounting for \$225.2 billion (1.6% gross domestic product), and resulting in ca. \$30 billion in tax revenue.<sup>8</sup> However, coffee-producing countries now face new challenges owing to changing climate<sup>9–12</sup> and shifts in consumer preferences. These challenges highlight the need to maximize the quality and reproducibility of the beverage while minimizing the mass of coffee used to produce it.

Of all of the coffee formats, espresso is by far the most complicated and susceptible to fluctuations in beverage quality. As historically defined by the Specialty Coffee Association, an espresso is a 25–35 mL (ca. 20–30 g) beverage prepared from 7–9 g of ground coffee made with water heated to 92°C–95°C, forced through the granular bed under 9–10 bar of static water pressure and a total flow time of 20–30 s. These metrics have been grandfathered into the industry and are significantly detached from the recipes used in most cafes today. Coffee shops routinely favor higher dry coffee mass (15–22 g), resulting in larger volume beverages (30–60 g beverage mass), produced on machines that dynamically control both

## Progress and Potential

The modern coffee market aims to provide products which are both consistent and have desirable flavour characteristics. Espresso, one of the most widely consumed coffee beverage formats, is also the most susceptible to variation in quality. Yet, the origin of this inconsistency has traditionally, and incorrectly, been attributed to human variations. This study's mathematical model, paired with experiment, has elucidated that the grinder and water pressure play pivotal roles in achieving beverage reproducibility. We suggest novel brewing protocols that not only reduce beverage variation but also decrease the mass of coffee used per espresso by up to 25%. If widely implemented, this protocol will have significant economic impact and create a more sustainable coffee-consuming future.

water pressure and temperature. The variables of tamp force, flow rate or time, dry mass of coffee, and beverage volume are all determined by the machine's operator.

There are other variables that have an impact on the beverage quality prior to the ground coffee being exposed to water. The grind setting determines the particle size distribution of the coffee grounds (and therefore the surface area).<sup>13</sup> Once compacted into a granular bed, the particle size distribution plays a role in controlling the permeability of the bed and consequently the flow rate. A decreased flow rate can be achieved in a number of ways: by decreasing the water pressure, grinding finer, packing the bed more tightly, using more coffee, or some combination of these. A further source of variability is that roasted coffee ages through off-gassing, losing roast-generated volatiles thereby altering the resultant beverage density and flavor.<sup>14,15</sup>

In principle, it is preferable to make objective statements about the flavor of food-stuffs from knowledge of their molecular components. This poses problems for coffee because there are ~2,000 different compounds extracted from the grounds during brewing.<sup>16,17</sup> In practice, we are limited to more easily measurable descriptors. The coffee industry uses extraction yield (EY), a ratio of solvated coffee mass to the mass of dry coffee used to produce the beverage, to assess extraction. EY is calculated by first measuring the refractive index, a property that depends on temperature. While a refractive index measurement cannot be used to characterize the beverage composition (i.e., it cannot be used to make qualitative statements about chemical composition; the refractive response is highly molecule specific),<sup>18</sup> it has been shown to accurately correlate with extracted mass.<sup>19</sup> This turn may be related to flavor for a narrow range of brew parameters; we discuss this further in subsequent sections. Accordingly, the Specialty Coffee Association advises that coffee most frequently tastes best when the proportion of extracted dry mass is in the range 17%–23%. Coffee beverages with EYs exceeding 23% typically taste bitter, while those below 17% are often sour. Furthermore, concentration (often referred to as beverage strength) plays another key role in coffee beverage production. Here, we consider this a secondary problem and chose to monitor EY because it is still a descriptor of flavor but also has significant economic implications (i.e., it tells us something about how efficiently we are using our coffee mass). In contrast, one could argue that beverage concentration is related to the consumer's preference.

This paper reports the development of a multi-scale mathematical model for extraction from a granular bed. Here, multi-scale is used to emphasize the fact that the descriptions of the physics spans different length scales (i.e., the size of the coffee grain, which is much smaller than the size of the espresso bed).<sup>20</sup> We apply the model to espresso-style coffee extraction but note that it is readily generalizable to any liquid/granular biphasic system. The model offers scope to independently alter familiar variables such as grind setting, water pressure, flow rate, coffee dose, extraction kinetics, and so forth; these culminate in a prediction of EY. The model's ability to individually change each brewing parameter is crucial to developing enhanced understanding of brewing because altering parameters truly independently is difficult in an experimental setting.

The model enables us to understand the origin of irreproducibility in espresso (namely non-uniform flow), and it also informs us in proposing a novel strategy for minimizing drink variation as well as dry coffee waste. We identify a critical minimum grind size that allows for homogeneous extraction. Below this setting, a counterintuitive reduction in EY and increase in variability is observed. In a departure from the

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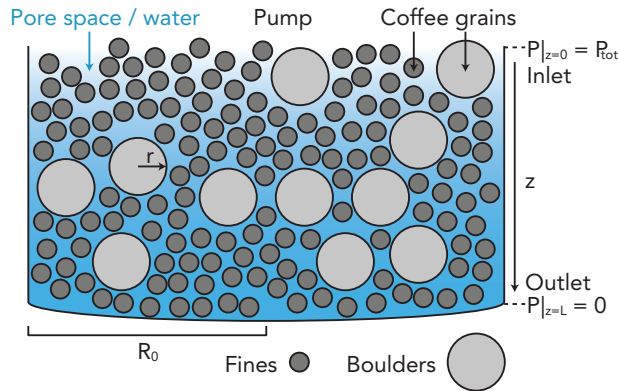
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**Figure 1. A Schematic of the Espresso Basket Geometry**

The coffee grounds are shown in gray ( $\Omega_s$ ), and the pore space, which is filled with water during extraction, is shown in blue ( $\Omega_l$ ). The macroscopic spatial coordinate measuring depth through the bed,  $z$ , the microscopic spatial coordinate measuring radial position within the spherical coffee particles,  $r$ , as well as the basket radius,  $R_0$ , are also indicated.

Specialty Coffee Association recommendations, the model suggests that we should ignore brew time and navigate the EY landscape using only mass of coffee and mass of water as independent variables. We demonstrate that we are able to systematically reduce coffee waste and dramatically reducing shot variation, while also saving the cafe both time and money in their production of espresso-based beverages. Our approach is then implemented into a real cafe setting where the economic benefits were monitored. Using these data, paired with those previously reported,<sup>8,21</sup> we estimate that a 25% reduction in coffee mass per coffee beverage will result in approximately ca. \$3.1 million per day.

## DEVELOPMENT OF A RATIONAL MODEL FOR ESPRESSO EXTRACTION

Espresso is brewed in a cylindrical container denoted by  $z \in (0, L)$  and  $R \in (0, R_0)$  (Figure 1). The solid coffee grounds occupy part of the cylinder,  $\Omega_s$  and contain a concentration of soluble coffee,  $c_s$ . The cylinder also contains inter-granular pore space,  $\Omega_l$ , which is occupied by liquid during extraction, which itself contains a concentration of coffee solubles  $c_l$ . We use the term coffee solubles to denote the sum of the concentrations of all compounds in coffee; this is in line with the EY measurement. We note that the model could readily be generalized to explicitly track any number of chemicals. However, the utility of doing so is questionable because one would need to also provide or fit kinetic parameters for each individual compound, rendering the model susceptible to overfitting. We would also require knowledge of initial concentrations, and these are difficult to measure for many species. The liquid flow between the inlet ( $z = 0$ ) and outlet ( $z = L$ ) is driven by an overpressure (the pressure excess relative to atmospheric pressure,  $P_{tot}$ ), applied by a pump. The model equations take the form of a system of partial differential equations that describe (1) the transport of coffee solubles from the interior of the grounds to their surface, (2) the exchange or dissolution of the solubles from the grounds into the liquid, and (3) the migration of the solubles in the liquid by a combination of diffusion and advection.

The solubles in the liquid phase are transported by a combination of diffusion and convection due to the flow of the liquid through the bed. The concentration of solvated coffee is therefore governed by an advection-diffusion equation:

$$\frac{\partial c_l}{\partial t} = \nabla \cdot (D_l \nabla c_l - u c_l) \quad \text{in } \Omega_l, \quad (\text{Equation 1})$$

where  $t$ ,  $D_l$ , and  $\mathbf{u}$  are time, the diffusivity of solubles within the liquid, and the velocity of the liquid, respectively. The liquid flow is solved for via the Navier-Stokes equations

$$\frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla) \mathbf{u} = -\frac{1}{\rho} \nabla P + \frac{\mu}{\rho} \nabla^2 \mathbf{u}, \quad (\text{Equation 2})$$

$$\nabla \cdot \mathbf{u} = 0 \quad \text{in } \Omega_l, \quad (\text{Equation 3})$$

where  $\mu$ ,  $\rho$ , and  $P$  are liquid viscosity, density, and overpressure, respectively.

Work by Spiro and colleagues demonstrated that the transport of coffee solubles through the interior of the grounds can be described by a diffusion process.<sup>22–28</sup> Hence,

$$\frac{\partial c_s}{\partial t} = \nabla \cdot (D_s \nabla c_s) \quad \text{in } \Omega_s, \quad (\text{Equation 4})$$

where  $D_s$  is the diffusivity of solubles within the grains. Here, we treat coffee grounds as spherical dense particles, but we note that the coffee grains themselves may be irregularly shaped and feature intragranular macropores, as previously observed in scanning electron micrographs.<sup>29</sup> As discussed in the next section, most particles in ground coffee are smaller than the macropore diameter observed in the micrographs. Nitrogen physisorption was used to assess the microporosity of the coffee grounds; the data suggest that ground coffee does not feature microporosity (see [Supplemental Information](#)). Thus, we expect our description to hold for most espresso grind settings.

Boundary conditions at the inlet,  $z=0$ , include a specified fluid overpressure, the requirement that the water enters the basket with a purely normal velocity, and that the normal flux of dissolved species should be zero:

$$P|_{z=0} = P_{\text{tot}}, \quad (\text{Equation 5})$$

$$\mathbf{u} \cdot \hat{\mathbf{t}}|_{z=0} = 0, \quad (\text{Equation 6})$$

$$(-D_l \nabla c_l + \mathbf{u} c_l) \cdot \hat{\mathbf{n}}|_{z=0} = 0, \quad (\text{Equation 7})$$

where  $\hat{\mathbf{t}}$  and  $\hat{\mathbf{n}}$  are the unit vectors tangent and normal to the surface  $z=0$ , respectively. At the exit we apply conditions of zero overpressure, zero tangential velocity, and a condition that there is zero diffusive flux of coffee. In summary,

$$P|_{z=L} = 0, \quad (\text{Equation 8})$$

$$\mathbf{u} \cdot \hat{\mathbf{t}}|_{z=L} = 0, \quad (\text{Equation 9})$$

$$(-D_l \nabla c_l) \cdot \hat{\mathbf{n}}|_{z=L} = 0. \quad (\text{Equation 10})$$

At the vertical edges of the cylinder,  $R=R_0$ , no flux conditions are applied to the liquid coffee concentration, because the liquid cannot exit in these directions:

$$(-D_l \nabla c_l + \mathbf{u} c_l) \cdot \hat{\mathbf{n}}|_{R=R_0} = 0, \quad (\text{Equation 11})$$

$$\mathbf{u}|_{R=R_0} = 0. \quad (\text{Equation 12})$$

On the boundaries between the grains and inter-granular pore space,  $\Gamma_{\text{int}}$ , there is a flux of solubles per unit area, which we denote by  $G$ . Appropriate boundary conditions are

$$(-D_s \nabla c_s) \cdot \hat{\mathbf{n}} = G, \quad (\text{Equation 13})$$

$$(-D_l \nabla c_l + \mathbf{u}_l) \cdot \hat{\mathbf{n}} = G, \quad (\text{Equation 14})$$

$$\mathbf{u} = 0 \quad \text{on } \Gamma_{\text{int}}, \quad (\text{Equation 15})$$

where the former two capture mass transfer and the latter imposes that the liquid should be stationary on the grain/pore space interface.

Determining the form of the reaction rate,  $G$ , is non-trivial, and it is something that is not readily measured experimentally. However, it can be reasonably assumed that the rate of transfer of solubles between the phases should depend on the local concentrations of solubles near the interface. Furthermore, the rate of extraction is zero when (1) the liquid immediately outside the grain is saturated (i.e., at a concentration  $c_{\text{sat}}$ ) or (2) when the liquid outside the grain is at the same concentration as the grain (i.e., in equilibrium) or (3) when the grain is depleted of solubles (the experimental upper limit of extraction is approximately 30% by mass). We therefore postulate a rate that satisfies all of the above conditions, namely

$$G = kc_s(c_s - c_l)(c_{\text{sat}} - c_l) \quad \text{on } \Gamma_{\text{int}}, \quad (\text{Equation 16})$$

where  $k$  is a rate constant. We note that the quantity  $c_{\text{sat}}$  likely depends on the local temperature. One could readily incorporate a thermal model into the description, but here we assume that the espresso basket is isothermal. This is justified on the basis that the heat capacity of water is relatively high and that espresso basket temperatures are actively controlled in most machines.

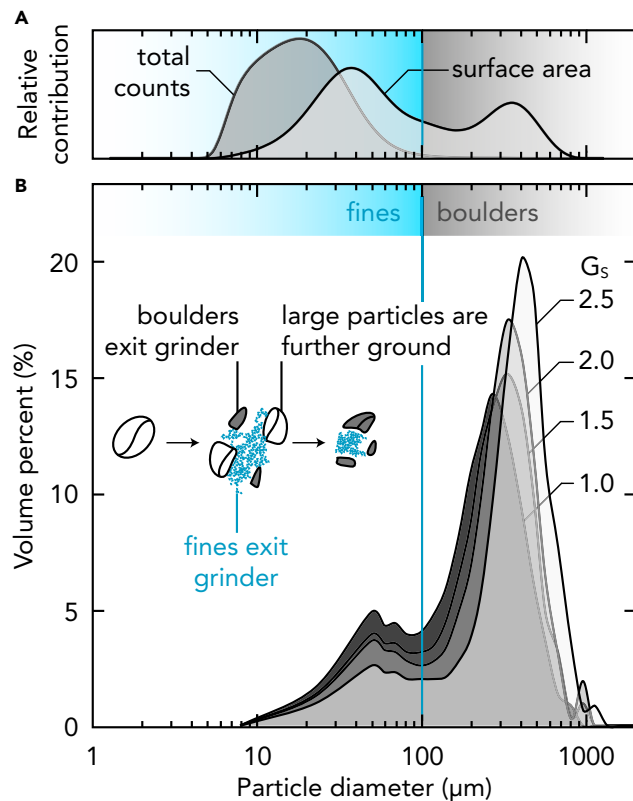
Coffee particulates remain dry until they are connected to the extraction apparatus, at which point water is rapidly introduced to the bed, serving to wet the entire puck and stabilize the particle temperature. Modeling this initial wetting (i.e., pre-infusion) stage poses another series of interesting problems; the model presented here is only valid once liquid infiltration has taken place, and we refer the interested reader to a discussion on pre-infusion.<sup>30</sup> We avoid explicitly modeling this stage by assuming that at  $t=0$ , when extraction begins, the bed is filled with liquid water that is free from solubles. We therefore have

$$c_l|_{t=0} = 0, \quad c_s|_{t=0} = c_{s0} \quad (\text{Equation 17})$$

and note that the errors engendered in making this approximation can be expected to be small because the intrusion stage represents only a small portion of the overall extraction time. In Equation 17,  $c_{s0}$  is the concentration of solubles in the grains initially. Concurrent with the wetting stage is the potential for the grains in the bed to be rearranged by the invading fluid.<sup>28</sup> Rearrangement that may occur during the initial wetting stage will be accounted for later after the equations have been homogenized. One of the results of this procedure is that the geometry is encapsulated in the macroscopic quantity of permeability, and by making this material property inhomogeneous, the model can mimic a non-uniform distributions of grounds.

### Particle-Size Distribution of Ground Coffee

The model requires knowledge of the distribution of coffee particle sizes produced by the grinder. The population, surface area, and volume fraction of the particles are used to estimate the permeability of the bed, and this is crucial in determining the liquid flow. Moreover, the particle size controls the extraction dynamics, because it determines the typical distance (and in turn the typical time) over which solubles must be transported within the grains before they reach the interface where they can be dissolved into the liquid.



**Figure 2. Particle Size Distributions Collected Using the Method Described in the Experimental Procedures**

(A) Surface area and number of coffee particulates produced with a grind setting  $G_s = 2.5$ . Here, 99% of the particles are  $<100 \mu\text{m}$  in diameter and account for 80% of the surface area.  
 (B) The volume percent particle size distribution at  $G_s = 2.5, 2.0, 1.5,$  and  $1.0$ . Grinding finer reduces the average boulder size and increases the number of fines. Intruders are boulders that are larger than the aperture of the burr set and hence further fractured until they can exit the burrs.

Particle size distributions were measured using our described experimental procedure; these data are shown in Figure 2A. We observe that, similar to the formation of two families of particle sizes found in exploding volcanic rock,<sup>31</sup> there are two groups of particle sizes in ground coffee. Namely, boulders (which we define as larger than  $100 \mu\text{m}$ ) and fines (smaller than  $100 \mu\text{m}$  in diameter). This bimodal distribution is caused by large particles fracturing until they are sufficiently small to exit through the grinder burr aperture.<sup>13</sup> The size of the boulders are determined by the burr separation, whereas the fines (much smaller than the burr aperture) are thought to be produced at the fracture interface. One piece of evidence supporting this idea is that as the grind setting,  $G_s$ , is reduced, the relative proportion of fines increases, but their size remains constant (Figure 2B).

### Multi-scale Homogenization and One-Dimensional Reduction

Direct solution of Equations 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, and 17 on a realistic packed bed geometry comprising many millions of individual grains is intractable, even using modern high-performance computing. Therefore, rather than tackling the problem directly, we make use of the vast disparity in the length scales between that of a coffee grain ( $\sim 10 \mu\text{m}$ , referred to as the microscopic scale) and that of the whole bed ( $\sim 1 \text{ cm}$ , referred to as the macroscopic scale) to

systematically reduce the system using the asymptotic technique of multiple scales homogenization. Such techniques have been applied to problems with a similar structure in electrochemistry,<sup>32</sup> and rather than present this very involved calculation in full here, we provide a summary in the [Supplemental Information](#) and refer the interested reader to Richardson and co-workers<sup>33</sup> where the details of an analogous calculation are presented.

The macroscopic system of equations, valid on the larger macroscopic length scale of the entire bed, systematically follow from the microscopic [Equations 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, and 17](#). The application of the multiple scales technique significantly reduces the model complexity, but the requisite information about the microscale variations is retained. For example, because the dissolution rates depend on the concentration of solubles on the microscopic particle surfaces, the multi-scale system contains a series of microscale transport problems that must be solved inside representative grains. It is crucial that this microscopic information is preserved in the multi-scale model, because it will allow us to study the effects of different grind settings on the overall macroscopic behavior of the extraction.

Motivated by the bimodal distribution of particle sizes in the model, it may be assumed that the bed is composed of two families of spherical particles with radii  $a_1$  (fines) and  $a_2$  (boulders). Further, we denote the Brunauer-Emmett-Teller (BET) surface area of the different families of particles by  $b_{\text{et}1}$  and  $b_{\text{et}2}$ , respectively. The BET surface area characterizes the amount of interfacial surface area between two intermingled phases per unit volume of the mixture, and therefore has units of  $1/m$ . We also introduce  $c_{s1}$  and  $c_{s2}$  to denote the concentrations of solubles in the two particle families. The resulting macroscopic equation for the concentration of solubles in the liquid is

$$(1 - \phi_s) \frac{\partial c_1^*}{\partial t} = \frac{\partial}{\partial z} \left( D_{\text{eff}} \frac{\partial c_1^*}{\partial z} - qc_1^* \right) + b_{\text{et},1} G_1 + b_{\text{et},2} G_2. \quad (\text{Equation 18})$$

Here, the quantity  $c_1^*$  is the concentration of solubles in the liquid as predicted by the multi-scale modeling approach; whereas  $c_1$  appearing in the equations in the [Supplemental Information](#) is the concentration of solubles in the liquid as predicted by the original microscopic model. The upscaled and reduced versions of [Equations 7 and 10](#) are

$$-D_{\text{eff}} \frac{\partial c_1^*}{\partial z} + qc_1^* \Big|_{z=0} = 0, \quad (\text{Equation 19})$$

$$-D_{\text{eff}} \frac{\partial c_1^*}{\partial z} \Big|_{z=L} = 0. \quad (\text{Equation 20})$$

These assert that there should be no flux of solubles across the inlet and no diffusive contribution to the flux at the outlet. In the next section, we show that parameter estimates indicate that diffusive fluxes are negligible compared with those due to advection in typical espresso brewing conditions. Hence, it is the flow of the liquid through the pores that is primarily responsible for moving solubles through the bed once they have been dissolved. Hence, even though the physical relevance of the latter condition in [Equation 19](#) is not completely clear, it has negligible impact on the model solution.

The microscopic equations to be solved are

$$\frac{\partial c_{si}}{\partial t} = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 D_s \frac{\partial c_{si}}{\partial r} \right), \quad \text{for } i = 1, 2, \quad (\text{Equation 21})$$



and the symmetry and dissolution rate boundary conditions, which arise from Equation 13, and which act to couple the micro- and macroscale transport problems, are

$$\left. \begin{aligned} -D_s \frac{\partial c_{si}}{\partial r} \Big|_{r=0} &= 0 \\ -D_s \frac{\partial c_{si}}{\partial r} \Big|_{r=a_i} &= G_i \end{aligned} \right\} \text{ for } i = 1, 2. \quad (\text{Equation 22})$$

The problem is closed by supplying the initial conditions Equation 17 and the reaction rates,  $G_i$ . This has precisely the same form as Equation 16 but with additional subscripts to differentiate the boulders from the fines, i.e.,  $G_i = kc_{si}(c_{si} - c_i^*)(c_{\text{sat}} - c_i^*)$ .

A formula for EY in terms of the model variables can be derived by first noting that it follows from Equations 18 and 20 that an expression for the rate at which soluble mass enters the cup is given by

$$\frac{dM_{\text{cup}}}{dt} = \pi R_0^2 q c_l \Big|_{z=L}. \quad (\text{Equation 23})$$

On integrating this equation along with the initial condition that there is no solvated mass at  $t = 0$  and dividing by the dry mass of coffee initially placed in the basket,  $M_{\text{in}}$ , we obtain

$$\text{Extraction yield (EY)} = \frac{\pi R_0^2 q \int_0^{t_{\text{shot}}} c_l \Big|_{z=L} dt}{M_{\text{in}}}, \quad (\text{Equation 24})$$

where EY is described as the fraction of solvated mass compared with the total mass of available coffee. Here,  $t_{\text{shot}}$  is the flow time. Equation 24 is used in the following sections as a means to compare model predictions of EY with experimental measurements.

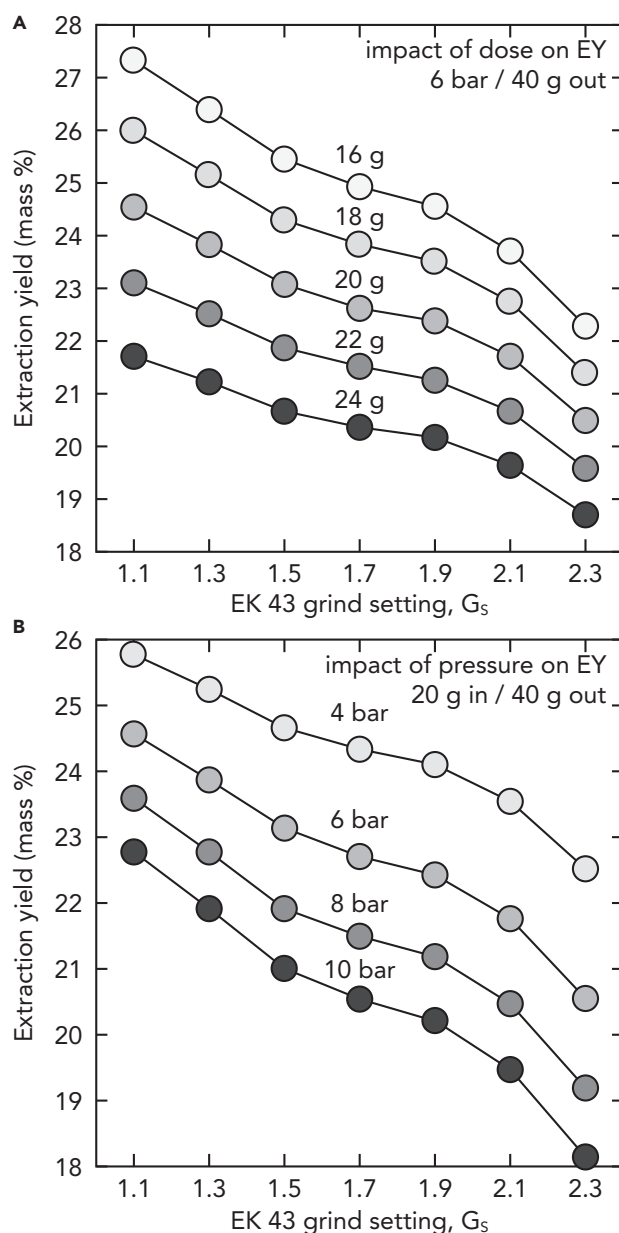
### Tuning the Model to Espresso Extraction Data

Initially, simulations of espresso extraction were run using a cafe-relevant recipe of 20 g of dry grounds used to produce a 40 g beverage under 6 bar of static water pressure. Values for the radius of an espresso basket ( $R_0$ ), the viscosity of heated water ( $\mu$ ), the saturation concentration of heated water ( $c_{\text{sat}}$ ), and the concentration of solubles initially in the grounds ( $c_{s0}$ ) are readily available in the literature (see the Supplemental Information for a summary of values and their sources). Moroney and colleagues<sup>30</sup> estimate that the volume fraction of grounds in a packed bed is  $\phi_s = 0.8272$  and this, along with the density of grounds and the bed radius, allows us to derive a value for the bed depth via the relationship

$$\pi R_0^2 L = \frac{M_{\text{in}}}{\rho_{\text{grounds}}} \phi_s. \quad (\text{Equation 25})$$

While it is likely that bed depth varies slightly across the range of grind settings (as the volume fraction changes), we assume that the bed depth is constant for a given dry mass of coffee,  $M_{\text{in}}$ . Values for both the radii and BET surface area for the two differently sized families of particles in the grounds can be derived from the data shown in Figure 3 provided that both families are distributed homogeneously throughout the bed. The Darcy flux,  $q$ , determines the flow rate of the liquid through the bed and varies with the grind setting. They are estimated using the shot times presented in Figure 4 from the equation

$$q = \frac{M_{\text{out}}}{\pi R_0^2 \rho_{\text{out}} t_{\text{shot}}}, \quad (\text{Equation 26})$$

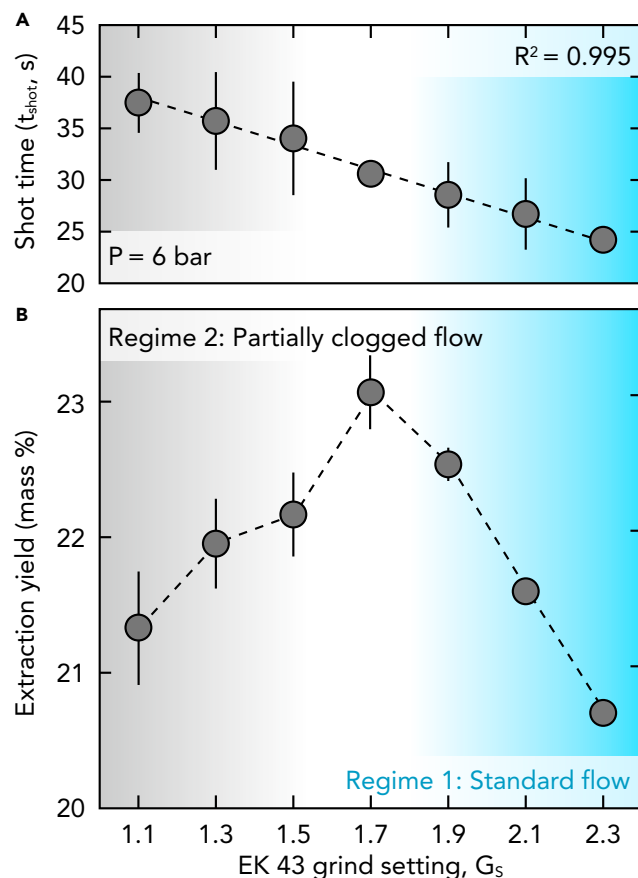


**Figure 3. Extraction Yield as a Function of Grind Size, with Varying Coffee Dose and Water Pressure**

(A) The effect of changing the coffee dose  $M_{in}$  with constant water pressure shows that reducing the initial coffee mass but keeping the beverage volume constant results in higher extractions.

(B) The effect of changing the pump overpressure,  $P$ , with a constant brew ratio shows an increase in extraction yield with decrease in water pressure.

where  $M_{out}$  is the mass of the beverage (40 g), and we make the assumption that the density of the drink,  $\rho_{out}$ , is the same as that of water, but we note that this is an area that could be improved in future model developments. We emphasize the difference between  $M_{out}$  and  $M_{cup}$ ; the former is the total mass of the beverage, whereas  $M_{cup}$  (used in Equation 23) is the total mass of solubles in the beverage. The parameter values discussed above are tabulated in the tables presented in the [Supplemental Information](#).



**Figure 4. Espresso Extraction Yield as a Function of Grind Setting**

(A)  $P_W = 6$  bar,  $t_F = 98$  N shot times are inversely proportional to  $G_s$ .

(B) Extracted mass percent can be described by two regimes. Regime 1: a standard flow system where an expected increase in extraction percent is observed with reducing  $G_s$ . Regime 2: partially clogged flow is operative when there are too many fines (ca.  $G_s = 1.7$ ), forming aggregates and/or inhomogeneous bed density, effectively reducing the surface area of the granular bed.

Three parameters, namely  $D_{\text{eff}}$ ,  $D_s$ , and  $k$ , remain to be specified. The effective macroscopic diffusivity in the liquid is often related to the diffusivity  $D_l$  via  $D_{\text{eff}} = \mathcal{B}D_l$  where  $\mathcal{B}$  is the permeability factor. This accounts for the reduction in the diffusive fluxes due to the obstacles provided by the intermingled phase, in this case the coffee grains. This permeability factor can either be computed via a homogenization calculation<sup>34</sup> or can be estimated using the Bruggemann approximation, which asserts that  $\mathcal{B} = \epsilon_l^{3/2}$ .<sup>35</sup> Unfortunately, neither  $D_l$  nor  $D_{\text{eff}}$  have been experimentally characterized. However, if we adopt a value for the diffusivity of a typical compound in water and then compare the expected size of the flux of solubles due to diffusion versus convection, it seems clear that a safe conclusion is that the former is significantly smaller than the latter:

$$\frac{D_{\text{eff}} c_{\text{sat}}}{L} \ll qc_{\text{sat}}. \quad (\text{Equation 27})$$

We note that this same conclusion was also reached previously.<sup>30</sup> Henceforth, we assign a small value to the macroscopic diffusivity of solubles in the liquid so that diffusive transport is negligible compared with convection due to liquid flow. The final two parameters,  $D_s$  and  $k$ , are fitted to the experiment. The results of this fitting are shown in Figure 4 and lead to values of

$$D_s = 6.25 \times 10^{-10} \text{ m}^2/\text{s}, \quad k = 6 \times 10^{-7} \text{ m}^7\text{kg}^{-2} \text{ s}^{-1}. \quad (\text{Equation 28})$$

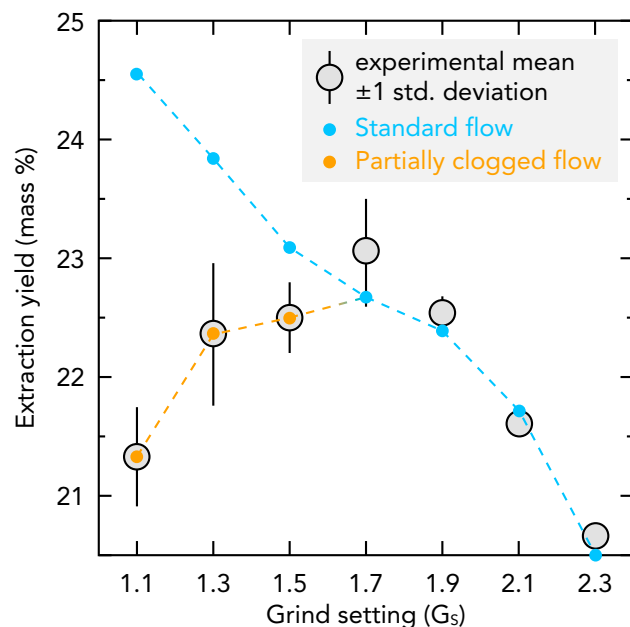
## The Effect of Altering the Brew Ratio and Water Pressure

Under the assumption that the bed geometry depends only on the grind setting, it is straightforward to explore the role of altering both the dry coffee mass (i.e., the brew ratio) and the static water pressure. When the coffee mass is altered, the only parameter that needs to be altered is the bed depth,  $L$ . We continue to operate under the assumption that the bed has a fixed volume fraction of coffee grounds, and hence the depth of the bed is directly proportional to the coffee dose (see Equation 25 and the Supplemental Information). When the pump overpressure ( $P_{\text{tot}}$ ) is increased, the Darcy flux ( $q$ ) is increased in direct proportion,<sup>34</sup> whereas the shot time is decreased in an inverse proportional manner (Equation 26 and the Supplemental Information). We monitor EY (the mass fraction of grains that are dissolved), enabling the isolation of both coffee mass and overpressure. These results are shown in Figure 3.

## BREWING ESPRESSO

The model predicts that EY can be increased by grinding finer, using lower pressure water, and/or using less coffee. The model was then compared with experimental coffee brewing, performed in a cafe setting. Using an espresso machine set to  $P=9$  bar of water pressure resulted in clogging at fine grind settings (see the Supplemental Information). To circumvent this difficulty, the pressure was reduced to  $P=6$  bar. Coffee must be tamped to level the granular bed. We explored a range of tamp pressures but did not observe an appreciable variation in shot time or EY, and so we standardized our tamping procedure using an automated device that pressed the bed at 98 N (see the Supplemental Information). Flavor differences, however, were noted but not quantified. The barista needs to taste the coffee in the cafe setting to ensure the beverage has the qualities that they desire. In summary, however, lower water pressure and tamp force allowed for access to a wider range of grind settings, thereby allowing for systematic sampling of shot time and EY over all relevant espresso grind sizes (Figure 4). These results indicate that the relationship between shot time is linear (Figure 4A); with a coarser grind setting resulting in shorter shot times.

Examination of the extracted mass of coffee as a function of grind setting reveals a more puzzling outcome (Figure 4B). From our model, it was anticipated that decreasing the grind setting should increase the extracted mass because the grinder (1) produces more fines, yielding higher surface area, (2) produces smaller boulders, reducing the length of transport pathways for solubles from their interior to their surface, and (3) increases shot times and, in turn, contact time and allows more time for dissolution of coffee compounds. This counterintuitive decrease in EY with grind settings less than 1.7 indicates that regions of the bed are not being evenly extracted (i.e., flow is no longer homogeneous). The EY measurements are made based on a sample of the beverage from the brewed cup of coffee and are hence indicative of an “average” EY of the grains throughout the bed. Thus, the onset of nonhomogeneous flow should be accompanied by a perceived mixture of both under- and overextracted flavors; this experience is very familiar in specialty coffee. Consumers may describe the same espresso as tasting both bitter and acidic (orthogonal flavors originating from dissimilar chemical motifs, particularly detectable as the coffee cools).<sup>36,37</sup> The industry often uses grind settings less than 1.7, in part to hit the time targets described in the definition of espresso.



**Figure 5. Simulated and Experimental Espresso Extraction**

A comparison of the model and experimental espresso shots collected using a standard recipe of 20 g of coffee dry mass, 40 g of beverage mass, produced using 6 bar water pressure. The partially clogged and standard flow regimes are highlighted in orange and blue, respectively.

The non-monotonic trend in EY with  $G_s$  can be attributed to two competing extraction regimes, namely (1) the expected flow conditions where extraction increases as the coffee is ground finer, and (2) aggregation and/or inhomogeneous density in the bed causing partially clogged flow and reduced average extraction. This highlights a fundamental problem in correlating a coffee beverage with refractive index measurements because there are countless ways to achieve a given EY. For example, examining our data reveals that one can obtain two 22% EY shots by keeping the brew ratio fixed and setting the  $G_s$  to either 1.3 or 2.0. The chemical composition of the faster shot cannot be the same as the slower shot owing to molecular differences in solubility, dissolution rate, and resultant molecule-dependent impact on the refractive index.<sup>38</sup> This result does not undermine our use of EY, but rather illustrates that the barista indeed needs to taste the coffee, rather than measure its solvated mass.

Our results provide an avenue to tackle three highly relevant issues in the coffee industry: first, how can one improve espresso reproducibility given the non-linear dependence of EY on the grind setting? Second, what should one do to reduce shot time or EY variability? And third, can we systematically improve espresso reproducibility while minimizing coffee waste? We address these questions later.

### ACCOUNTING FOR THE PARTIALLY CLOGGED FLOW REGIME

The discrepancy between the model and experiment for finely ground coffee ( $G_s < 1.7$ ; Figure 5) allows us to estimate the amount of the bed that is effectively inaccessible due to clogging. For extraction in the partially clogged regime, the flow is non-uniform, and this causes some regions of the granular bed to be more extracted than others. Without a precise map of the bed geometry, it is difficult to characterize the partially clogged flow pattern precisely. However, one can imagine an extreme case

of partial clogging in which some regions of the coffee bed have zero flow (and are therefore non-extracted), while the remainder is subject to homogeneous flow. Based on a comparison between the model prediction and the experimental measurement, and assuming this extreme case of partial clogging (i.e., there are regions in the coffee bed that are entirely dry), we find that there is a 13.1%, 6.1%, and 2.6% difference in predicted EY versus experimental values for grind settings of 1.1, 1.3, and 1.5, respectively. Of course, it is likely that the clogging results in a spread of EYs, with a portion of the mass extracted to 0%, 1%, 2%, etc. Thus, estimating the level of inefficiency in a given extraction is not possible using the refractive index measurement alone, and we hope that follow-up studies will provide molecular handles on the disparities in flavor comparing homogeneous and partially clogged extractions with identical EYs.

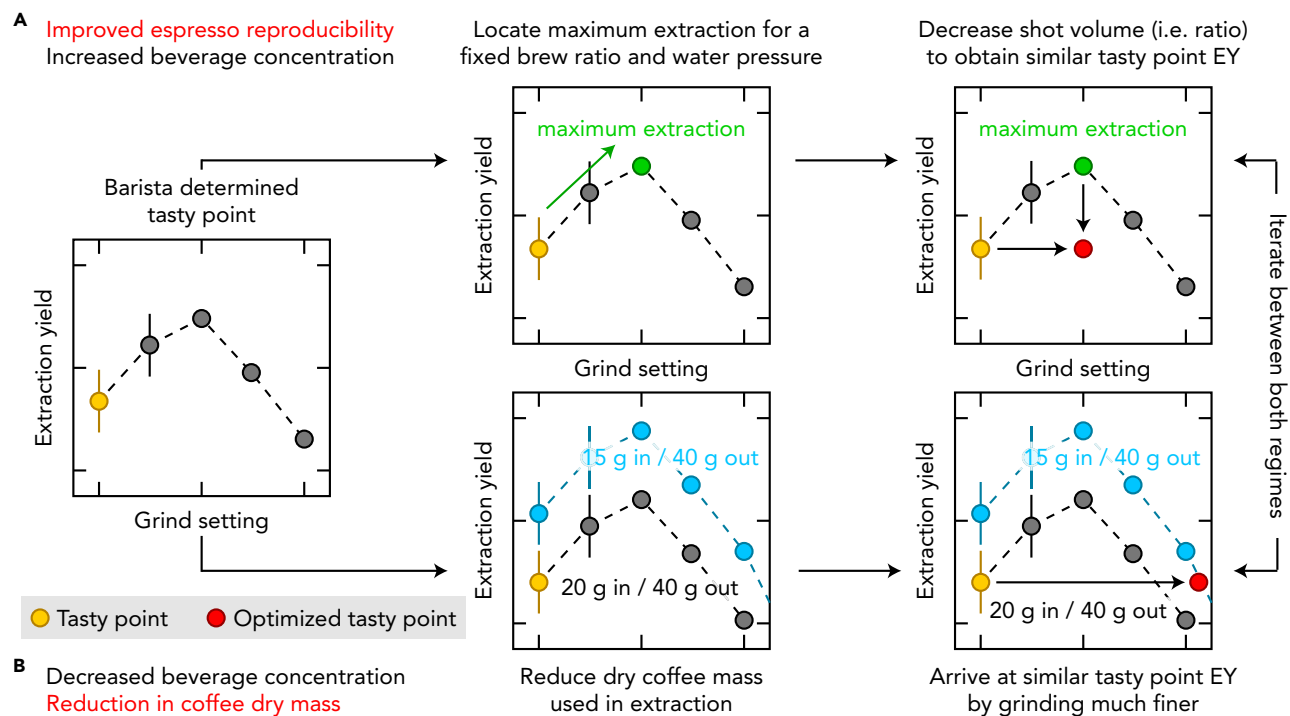
We are able to adapt the model to recover this downward trend after reaching the critical grind setting. In essence, this is achieved by reducing the accessible surface area of a fraction of the granular bed, simply modeled by reducing the surface area of the planar faces of the cylindrical bed that is exposed to incident water. This procedure was performed empirically until our predicted EY matched the experimental data. More important, however, are the implications of the need to reduce the proportion of coffee that is accessible in the model. First, this suggests that in many circumstances where flow is inhomogeneous, there are regions of the granular bed that have been extracted far higher than measured with an average EY. Second, the grind setting plays a major role in determining how much dry coffee mass is wasted in the brewing process. Finally, marriage of the model and the experiments provide us with one clear avenue to optimize espresso extraction.

## SYSTEMATICALLY IMPROVING ESPRESSO

Since the extent of the clogging is determined by the size distribution in the grind, and the variation in how different coffees grind are negligible,<sup>13</sup> it is reasonable to expect all coffees to exhibit this inhomogeneous “peaked” relationship between EY and grind setting for a fixed pump pressure and brew ratio. We therefore use the insights gleaned by comparing the model with experiments above to make generic recommendations on how to address the important economic aims of maximizing EYs, while simultaneously producing enjoyable espresso and reducing dry coffee waste. The following sections discuss several approaches to achieving these goals.

### Maximizing Extraction Yield by Altering $G_s$

The grind setting that gives rise to the maximum extraction yield,  $EY_{max}$ , for a given set of brewing parameters should correspond to the finest grind that maintains homogeneous extraction from the coffee bed. Since, by definition,  $EY_{max}$  is greater than or equal to the EY obtained for the extraction parameters used in cafes, the barista can always find their targeted EY by first extracting at  $EY_{max}$ , then changing the brew ratio by increasing the volume of water used to produce the shot. In practice, this is achieved by first locating a so-called tasty point (i.e., an espresso shot that tastes good to the barista), then locating the grind setting corresponding to  $EY_{max}$  using either the refractive technique detailed here or by simply tasting the coffee. Following Figure 6A, the operator must only adjust the grind setting to find  $EY_{max}$  (shown in green), followed by reducing the volume of water used in the extraction to result in the same EY as measured using the refractive index device (shown in red). This process results in reduction in the total cup volume but does increase the cup concentration and reproducibility.



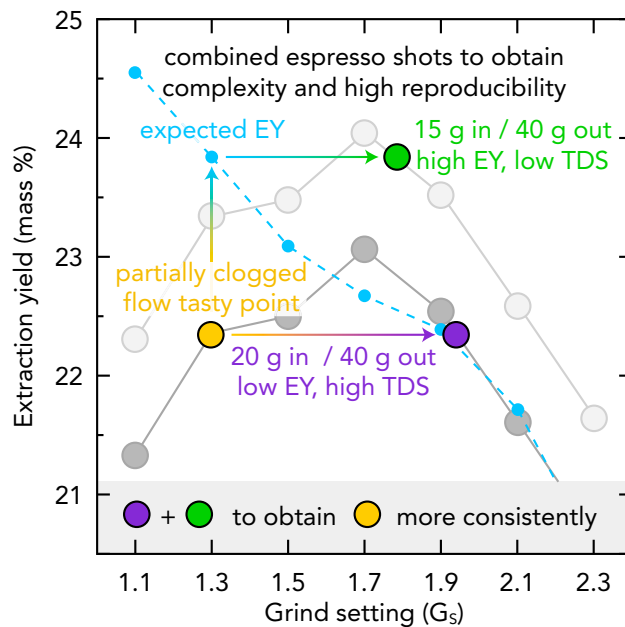
**Figure 6. Schematic Illustrating Two Strategies to Improve Espresso Reproducibility**

After determining a tasty point (yellow), the barista can obtain the same EY by (A) grinding coarser to find the maximum EY (green) and then reducing the water mass, or (B) downsizing and grinding much coarser. The former results in smaller beverages with higher coffee concentration, and the latter results in less dry mass coffee being used at a lower concentration. Here “in” and “out” refer to dry coffee mass and beverage volume, respectively.

### Systematic Reduction of Coffee Mass by Downsizing and Grinding Coarse

As we demonstrated in Figure 3, our model informs us that a reduction in dry coffee mass results in an increased  $EY_{max}$  (shown schematically in blue in Figure 6). Thus, a barista is able to achieve highly reproducible espresso with the same EY as the 20 g espresso by reducing the coffee mass to 15 g and counter-intuitively grinding much coarser (as shown in red, Figure 6B). This modification may result in very fast shots (<15 s), a reduction in espresso concentration, and a different flavor profile.

The Specialty Coffee Association espresso parameters mandate that the extraction should take 20–30 s; we speculate that this might be partially responsible for the prevailing empirical truth that most coffee is brewed using grind settings that cause partially clogged/inhomogeneous flow. Remembering that the initial tasty point may lie in the clogged flow regime, some of the bed is extracted much more than the refractive index measurement suggests. By lowering the dry coffee mass and grinding to maximize EY, the operator may notice that they are able to push their extractions much higher than before, while achieving highly reproducible espresso. Indeed, the two approaches presented in Figure 6 are complimentary, because the former increases the shot concentration and the latter decreases the dry mass. There are circumstances where businesses make decisions on the minimum concentration and volume of espresso that is acceptable to present to customers. When iterated, these approaches result in optimization of beverage volume, concentration, and other economic implications.



**Figure 7. A Procedure to Approximate Flavors Obtained in the Partially Clogged Flow Regime**

Blending two espresso shots provides an avenue to obtain the flavor complexity of the partially clogged flow tasty point (yellow) with less shot variation. One high dry mass, low EY shot (purple) combined with one low dry mass, high EY shot (green) provides an approximation to the tasty point.

### Blending Shots

Beyond sensory science studies, a persistent difficulty is that there is no rapid route to assessing the quality of two identical EYs made with different grind settings or brew parameters. It is clear that espresso made at 22% EY in the partially clogged regime tastes more “complex” than a fast 22% EY obtained using the optimization routine presented in Figure 6. In an attempt to recover the same flavor profile as the partially clogged flow regime, a shot must contain a mixture of higher and lower extractions. Consider the tasty point in Figure 7: One can approximate its flavor profile by blending two shots: (1) a low extraction/high dose (purple point) and (2) a high extraction/low dose (green point). This procedure can more economically yield a shot with a flavor profile that should approximate that which was previously only obtainable in an economically inefficient partially clogged shot. Blending shots does double the total volume of the beverage, and the procedure comes with the added combinatorial complexity associated with calibrating two shots that, when mixed together, yield superior flavor. We expect only the most enthusiastic practitioners would consider this approach, but it may well be actionable in an industrial setting where extraction is carried out in bulk.

### IMPLEMENTATION AND OUTLOOK

In 2017, we implemented the waste reduction protocol into a local specialty cafe in Eugene, Oregon. Examination of their sales data between September 2018 and September 2019 revealed that the cafe produced 27,850 espresso-containing beverages. Previously, each beverage would have contained 20 g of coffee dry mass. This specialty-grade coffee is valued at \$0.53 per 20 g. By systematically reducing this mass by 25%, the cafe was able to save \$0.13 per drink, amounting to a revenue



increase of \$3,620 per year. In addition to the monetary saving, the shot times were routinely reduced to 14 s, significantly reducing the order-to-delivery time. From this proof of concept, we can speculate on the larger economic benefit gained by the procedure detailed herein. Encompassing both specialty- and commodity-grade coffee beverage products, we estimate that the average coffee beverage is produced using ca. \$0.10 of coffee. Considering a 25% reduction in coffee mass (i.e., \$0.025 saving), and considering the daily coffee consumption in the United States (124,000,000 espresso-based beverages per day),<sup>21</sup> our protocol yields \$3.1 million savings per day, or \$1.1 billion per year. Of course, this poses significant problems for the entire supply chain, because being more efficient with ground coffee does yield less revenue for roasters, importers, and producers and further highlights that there is still much work to be done to improve efficiency in the industry, while also uniformly increasing profits.

While we do not present solutions to all of these interesting problems, we have described the formulation of a novel model for extraction (i.e., mass transfer) from a granular bed composed of mixed particle sizes to a liquid that flows through this bed. Multiple-scale homogenization, which exploited the disparity between the length scales associated with individual grains and those of the whole bed, has been used to reduce the model from its original intricate geometry to a multi-scale model that has markedly less geometric complexity and is therefore usable and more readily diversified. The model is able to faithfully reproduce experimental measurements in regimes where flow is homogeneous and accurately predicts EYs as a function of meaningful parameters, such as coffee mass, water mass, and extraction rates. While the EY is not directly indicative of quality, it does allow for economic arguments to be made. Furthermore, such measurements, paired with model predictions, have led to novel insight, which suggests several strategies for systematically improving espresso reproducibility as well as reducing coffee waste, leading to more sustainable production of high-quality beverages. Ultimately, we conclude by presenting a route to obtain complex flavor profiles while maintaining economic savings through blending of shots. While the latter is not necessarily practical, it does highlight that partially clogged flow may impart complexity, albeit with large variation.

## EXPERIMENTAL PROCEDURES

Espresso was prepared using standard equipment at Frisky Goat Espresso. Twenty gram ridge-less baskets were fitted into the porta-filters of a San Remo Opera three group espresso machine. The Opera allows for precise control of shot time, water pressure ( $P_w$ ), and temperature. Coffee was ground on a Mahlkönig EK 43 grinder fitted with coffee burrs. Espresso is typically ground at a grind setting ( $G_s$ , a.u.) = 1.3–2.3, depending on the coffee. Tamp force ( $t_f$ ) was controlled using the Barista Technology BV Puqpress, an automated tamper accurate to within  $\pm 3$  N. We elected to use an espresso profile specialty coffee, roasted by Supreme Roasters (Brisbane, Australia). The mass of coffee in the basket and the mass of the outgoing liquid coffee were measured on an Acaia Lunar espresso balance.

Although an exhaustive characterization of the chemistry in each shot is necessary for the absolute description of shot composition (and therefore quality), we can use the total extracted mass as a first approximation to gauge reproducibility. The concentration of coffee is phenomenologically related to the refractive index of the beverage (which is temperature dependent) and can be recovered using a previously presented methodology.<sup>19</sup>

We elected to use a representative modern espresso recipe (i.e., 20.0(5) g of dry ground coffee in, 40.0(5) g of beverage out). Temperature was kept constant at 92°C. Espresso shots were discarded if, due to human error, the shot mass was outside a  $\pm 1$  g tolerance. Exact beverage masses were included in each calculation of EY. Calibrating measurements were made using a larger sample size,  $n = 20$ , and subsequent data were collected in pentaplicate.

Laser diffraction particle size analysis was performed on a Beckman Coulter LS13 320 MW. The instrument has a built-in dark-field reticule, which is used to ensure correct optical alignment. An alignment check was carried out prior to every run to ensure the optimum accuracy of the particle size distribution.

Nitrogen physisorption isotherm data were acquired at  $-196^\circ\text{C}$  on a Quadrasorb SI (Quantachrome Instruments). Prior to measurement, each ground coffee sample was degassed twice at  $200^\circ\text{C}$  under vacuum for 16 h. As a consequence of the minimal gas adsorption (due to low surface area and a lack of small pores) at partial pressures  $< 0.3$ , it was not possible to obtain a linear fit to the BET equation. Therefore, a specific BET surface area value is not reported.

## SUPPLEMENTAL INFORMATION

Supplemental Information can be found online at <https://doi.org/10.1016/j.matt.2019.12.019>.

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## AUTHOR CONTRIBUTIONS

The study was conceived by C.H.H., M.I.C., J.M.F, and W.T.L. M.I.C. and D.M. brewed the espresso. D.H. and E.U. performed the grinding experiments. Z.C.K and S.A.F. performed the gas sorption measurements. J.W., W.T.L, and J.M.F. formulated the mathematical model. J.M.F carried out the model analysis and wrote the code to solve the reduced model. C.H.H., W.T.L., and J.M.F. wrote the manuscript, and all authors contributed to the final version.

## DECLARATION OF INTERESTS

The authors declare no competing financial interests.

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